CONFIDENTIAL

Dear Applicant

In the interests of your ongoing health and wellbeing, and as a condition of your employment, you are required to attend an assessment of your fitness to undertake rail safety work.

This health assessment is guided by the National Standards for Health Assessment of Rail Safety Workers (October 2012) as set by the National Transport Commission.

Please bring with you before attending the health assessment:

- All information relating to any current and pre-existing medical conditions (i.e. specialist reports, diabetes management plans, fitness for work plans, weight management plans etc);
- · Prescription glasses (if you wear them);
- Hearing aids (if you use them);
- A list of your current medication/s? (If you are unsure take the packets with you).

Please also bring with you:

- Photographic ID (Driver's Licence / Passport etc)
- The health questionnaire with section 2 and 3 completed by you.

For purposes of testing drug screening; a urine sample will be required during the health assessment. This is not part of your blood test.

Do not be exposed to loud noise 16 hours prior to audiometric testing.

You will be required to have a blood test which requires you to fast (not eat) for at least 8 hours prior to the blood test. The blood test is to show your Cholesterol Levels (Total and Low density lipids) and blood sugar levels.

A pathology form for you to be able to undertake the blood tests (up to a week prior to your Health Assessment appointment) may be provided to you by the TAPs and Medicals Officer, as well as details of where this blood test can be done. You must take the pathology form with you when going for your blood test.

If the examining health professional finds that you do not meet all relevant medical criteria that the standard requires, you will be advised of recommended action you will need to take and the amount of time you have to complete the actions.

The examining Health Professional is <u>not permitted and will not treat any medical condition</u>, but may provide you a letter to give to your own treating General Practitioner and Medical Specialist (if required).

HEALTH ASSESSMENT FORM

CATEGORY 1 (High Level Safety Critical Worker)

SECTION 1: EMPLOYER TO COMPLETE

1.1 Emp	oloyee/Applica	nt Details		
Surnam	e:		First Names:	
Depot/L	ocation:		Current Position:	
Service	Number:		Date Of Birth:	
1.2 Emp	oloyer Details			
Supervis	sor/Contact:			
Date Me	dical Request	:	Phone:	
Account	t to be sent to:			
Results	to be sent to:			
1.3 Hea	Ith Assessmen	t Appointment Details		
Health P	Professional:			
Address	::			
Phone:			Fax:	
Appoint	ment Date:		Appointment Time: (please arrive 15 mins prid	or)
Tests Re	equired:	Fasting Cholesterol (Total and HDL)		Fasting Glucose
		Breath/Blood Alcohol Level		Resting ECG
		□ Audiometry		☐Urine Sample
1.4 Des	cription of Dut	ies (or see attached Job Description or Task	Risk Assessment)	
☐ Rail	Safety Worker	Risk Assessment Attached		
Descript	tion:			
1.5 Type	e of Assessme			
	Pre-employm	ent / Change of Category Health Assessment		
	Periodic Healt	h Assessment		
	Triggered Hea	alth Assessment (specify reason):		

SECTION 2: PERMISSION FOR EMPLOYEE/APPLICANT HEALTH INFORMATION DISCLOSURE

DISCLOSURE OF HEALTH INFORMATION AND INDICATION OF EMPLOYEE'S UNDERSTANDING OF HOW THEIR HEALTH INFORMATION IS REPORTED, STORED AND ACCESSED.

The examining Health Professional will retain all health assessment results. The details of the employee's / applicant's health assessment will remain confidential.

Other than the above, no information will be disclosed to any other person or organisation without your written permission, except where:

- A notifiable disease is diagnosed which must, by law, be reported to the state authorities;
- A report is subpoenaed by a court of law, or
- The rail safety regulator (or another person) is required to conduct an inquiry into a railway accident or incident.

You have the right to access your health records, including those held by the examining Health Professional.

IMPORTANT

If the examining Health professional finds or suspects an urgent health issue or if they require you to undergo further investigation, testing, or development of management plans with your GP, the Health Professional may wish to contact your own GP.

You have the right to refuse permission for the examining health professional from contacting your GP however; this may result in your health assessment being delayed.

I give \Box do not give \Box (please tick) permission for the examining Health Professional to contact my treating doctor (s) to discuss or clarify information relating to my current health status.

Please provide details: Your contact pl	hone number:
Your GP phone number:	Your GP address:
Your Health Professional/Specialist pho	one number (if applicable):
Your Health Professional/Specialist add	dress:
l,	(print name) certify that I have read and understood the above information.
Signature:	Date: / / 20

SECTION 3: EMPLOYEE/APPLICANT TO COMPLETE

3.1 Safety Critical Worker - Health Questionnaire

The questions on the following pages must be completed in order to help assess your fitness to work.

Please answer the questions by ticking the appropriate box or circling the appropriate response. If you are not sure, leave the question blank and ask the examining health professional what it means.

The health professional will ask you more questions during the assessment. All questions must be answered truthfully.

1.	Are you currently being treated by a doctor	r for any	illness o	r injury? <i>(Pl</i>	lease note brief details) Yes ⊠	No 🗆	_
2.	Are you receiving any medical treatment of (Please take any medications with you to show	_	-	••	•	No	_
3. 3. 3. 3. 3. 3.	 Heart Disease Chest Pain, angina Any condition requiring heart surgery Palpitations/irregular heartbeat 	r that you	u have a	3.14 3.15 3.16 3.17 3.18	Colour blindness Kidney disease Diabetes Neck, back or limb disorders Hearing loss or deafness or had an ear operation or use a hearing aid	Yes	No
3. 3. 3.	 Head injury, spinal injury Seizures, fits convulsions, epilepsy Blackouts or fainting 			3.19	Do you have difficulty hearing people on the telephone (including use of hearing aid if worn)?	_	
3. 3. 3.	•			3.20	Have you ever had, or been told by a doctor that you have a psychiatric illness or nervous disorder?	ı 🗍	
3.	Double vision, difficulty seeing			3.21	Have you ever had any serious injury illness, operation, or been in hospita for any reason?		

4.		Please	tick the 'NO' or 'YES' in response to the following:					
	4.1	Н	lave you undergone an Exercise Stress Test within the lif Yes Where did you have the test?			Yes □	No □ 	
	4.2	D	Oo you smoke or have been a smoker			Yes	No	
			If you are an ex-smoker when did you quit? How much did/do you smoke?					
	4.3		Oo you use illicit drugs? If Yes, please state drugs used and frequency			Yes □	No	
5.		- Please	tick the box 'No' or 'Yes' in response to the following	J:		Yes	No	
	5.1	Have yo	ou ever had, or been told by doctor you had a sleep o	lisorder, sleep apnoea, or n	arcolepsy?			
	5.2	Has an	yone noticed that your breathing stops or is disrupted	d by episodes or choking du	ıring your sleep?			
		Epwo	rth Sleepiness Scale:					
	5.3	How lik	cely are you to doze off or fall asleep in the following	situations, in contrast to fe	eling just tired?			
		This ref	fers to your usual way of life in recent times. Even if	you haven't done some of	these things recen	itly try to	work out	how
		they wo	ould affect you.					
			Use the following scale to choose the most approp					
			0 = Would never doze off	2 = Moderate cha	_			
			1 = Slight chance of dozing Situation	3 = High chance o	0 0	1	2	3
		5.3.1	Sitting and reading			_ .		<u>_</u>
		5.3.2	Watching TV					
		5.3.3	Sitting, inactive in a public place (eg. A theatre or	meeting)				
		5.3.4	As a passenger in a car for an hour without a brea	G,				
		5.3.5	Lying down to rest in the afternoon when circums					
		5.3.6	Sitting and talking to someone	tanooo pormit				
		5.3.7	Sitting quietly after a lunch without alcohol					
		5.3.8	In a car, while stopped for a few minutes in a traff	ic				
		0.0.0	m a oai, wiino stoppou ioi a iow iiiiiutos iii a tiali	io				

6. **AUDIT Questionnaire**

Please circle the response that is correct for you:

		(0)	(1)	(2)	(3)	(4)
6.1	How often do you have a drink containing alcohol?	Never	Monthly or less	Two to four times a month	Two to three times a week	Four or more times a week
6.2	How many drinks containing alcohol do you have on a typical day when you are drinking?	1 or 2	3 to 5	5 to 6	7 to 9	10 or more
6.3	How often do you have six or more drinks on one occasion?	Never	Monthly or less	Two to four times a month	Two to three times a week	Four or more times a week
6.4	How often during the last year have you found that you were not able to stop drinking once you had started?	Never	Monthly or less	Two to four times a month	Two to three times a week	Four or more times a week
6.5	How often during the last year have you failed to do what was normally expected from you because of drinking?	Never	Monthly or less	Two to four times a month	Two to three times a week	Four or more times a week
6.6	How often during the last year have you needed a first drink in the morning to get yourself going after a heavy drinking session?	Never	Monthly or less	Two to four times a month	Two to three times a week	Four or more times a week
6.7	How often during the last year have you had a feeling of guilt or remorse after drinking?	Never	Monthly or less	Two to four times a month	Two to three times a week	Four or more times a week
6.8	How often during the last year have you been unable to remember what happened the night before because you had been drinking?	Never	Monthly or less	Two to four times a month	Two to three times a week	Four or more times a week
6.9	Have you or someone else been injured as a result of your drinking?	No		Yes, but not in the last year		Yes, during the last year
6.10	Has a relative or friend, or a doctor or other health worker been concerned about your drinking or suggested you cut down?	No		Yes, but not in the last year		Yes, during the last year

_	1//		^		
/	K 1	111	/ No.	ACTIC	NHANIKA
1.	N	ıv	vu	G SUL	onnaire

Please tick the answer that is correct for you:

7.2 In the past 4 weeks, about how often did you feel nervous? 7.3 In the past 4 weeks, about how often did you feel so nervous that nothing could calm you down? 7.4 In the past 4 weeks, about how often did you feel hopeless? 7.5 In the past 4 weeks, about how often did you feel restless or fidgety? 7.6 In the past 4 weeks, about how often did you feel so restless you could not sit still? 7.7 In the past 4 weeks, about how often did you feel depressed? 7.8 In the past 4 weeks, about how often did you feel depressed? 7.9 In the past 4 weeks, about how often did you feel so sad that nothing could cheer you up? 7.10 In the past 4 weeks, about how often did you feel worthless? In the past 4 weeks, about how often did you feel wor			All of the time (5)	Most of the time (4)	Some of the time (3)	A little of the time (2)	None of the time (1)
7.3 In the past 4 weeks, about how often did you feel so nervous that nothing could calm you down? 7.4 In the past 4 weeks, about how often did you feel hopeless? 7.5 In the past 4 weeks, about how often did you feel restless or fidgety? 7.6 In the past 4 weeks, about how often did you feel so restless you could not sit still? 7.7 In the past 4 weeks, about how often did you feel depressed? 7.8 In the past 4 weeks, about how often did you feel depressed? 7.9 In the past 4 weeks, about how often did you feel that everything was an effort? 7.9 In the past 4 weeks, about how often did you feel so sad that nothing could cheer you up? 7.10 In the past 4 weeks, about how often did you feel worthless? In the past 4 weeks, have there been any extraordinary events in your life that may have particularly affected your responses to the questions sections 1 and/or 3 (for example: death of a friend/family member, victim of crime, birth of a child, physical / psychological illness, etc)? 8. For Existing Employees Only 8.1 Have you experienced difficult completing any tasks required for your work (eg. Walking on No Yes ballast, hearing train instructions).	7.1						
that nothing could calm you down? 7.4 In the past 4 weeks, about how often did you feel hopeless? 7.5 In the past 4 weeks, about how often did you feel restless or fidgety? 7.6 In the past 4 weeks, about how often did you feel so restless you could not sit still? 7.7 In the past 4 weeks, about how often did you feel depressed? 7.8 In the past 4 weeks, about how often did you feel depressed? 7.8 In the past 4 weeks, about how often did you feel that everything was an effort? 7.9 In the past 4 weeks, about how often did you feel so sad that nothing could cheer you up? 7.10 In the past 4 weeks, about how often did you feel worthless? In the past 4 weeks, have there been any extraordinary events in your life that may have particularly affected your responses to the questions sections 1 and/or 3 (for example: death of a friend/family member, victim of crime, birth of a child, physical / psychological illness, etc)? 8. For Existing Employees Only 8.1 Have you experienced difficult completing any tasks required for your work (eg. Walking on ballast, hearing train instructions).	7.2	In the past 4 weeks, about how often did you feel nervous?					
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was an effort? 7.9 In the past 4 weeks, about how often did you feel so sad that nothing could cheer you up? 7.10 In the past 4 weeks, about how often did you feel worthless? In the past 4 weeks, have there been any extraordinary events in your life that may have particularly affected your responses to the questions sections 1 and/or 3 (for example: death of a friend/family member, victim of crime, birth of a child, physical / psychological illness, etc)? 8. For Existing Employees Only 8.1 Have you experienced difficult completing any tasks required for your work (eg. Walking on No Yes ballast, hearing train instructions).	7.7	In the past 4 weeks, about how often did you feel depressed?					
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8. For Existing Employees Only 8.1 Have you experienced difficult completing any tasks required for your work (eg. Walking on ballast, hearing train instructions). 8. Ballast, hearing train instructions).	7.10	In the past 4 weeks, about how often did you feel worthless?					
8.1 Have you experienced difficult completing any tasks required for your work (eg. Walking on ballast, hearing train instructions). 8.2 Have you been involved in any accidents or near misses at work in the period since your last assessment? No Green Company Co							
	_	3.1 Have you experienced difficult completing any tasks require	d for your w	ork (eg. Walki	ing on		
	8	3.2 Have you been involved in any accidents or near misses at	work in the p	eriod since y	our last assessn	_	Yes
ii yoo, shony doodhad	I.	f yes, briefly describe:					

PLEASE NOTE:

You have the right to refuse permission to contact your GP however, this may result your health assessment being delayed.

3.2 Declaration (To be signed by the employee/applicant in the presence of the Examining Health Professional)

l,	
(Print Name)	
Certify that to the best of my knowledge, the above information supplied by n	ne is true and correct.
• \Box give \Box do not give (please indicate) permission for the examining health clarify information relating to my current health status.	professional to contact my treating doctor(s) to discuss or
Employee/Applicant Signature:	Date:
Examining Health Professional	
Name:	-
Signature:	Date:

SECTION 4: IMPORTANT INFORMATION TO THE EXAMINING HEALTH PROFESSIONAL

4.1 Instructions To the Examining Health Professional

1.

- You are requested to conduct a health assessment to assess the employee/applicants fitness for rail safety duties in accordance with the National Standard for Health Assessment of Rail Safety Workers,
- You must sight photo identification of the employee/applicant (eg Drivers Licence, Rail Safety Workers' Card)
- Should the applicant be assessed as Temporarily/Permanently Unfit for Duty, please contact the employer immediately so that appropriate actions can be taken.
- Category 1 High Level Safety Critical applicants are required to present for fasting cholesterol (total and HDL), fasting glucose, blood alcohol and a resting ECG. Applicants are also required to have audiometric testing as part of this health assessment. The employee/applicant has been advised of these requirements.

These tests will be arranged separately and reports forwarded to you if facilities are not available at your practice.

You may need to contact the applicants nominated health professional to discuss conditions that may affect their fitness for rail safety work. Such contact should be made with the workers signed consent.

For more detailed information about the conduct of health assessments for rail safety employees see the *National Standard for Health Assessment of Rail Safety Workers*.

4.2 Category 1 Safety Critical Worker Health Assessment Examination – Examining Health Professional To Compete

diovascular System:						
Blood Pressure						
Systolic mm Hg						
Diastolic mm Hg						
Pulse Rate:	Regular		Irregular			
Heart Sounds:	Normal		Abnormal□			
Peripheral Pulses:	Normal		Abnormal□			
Calculation of Cardiac Risk	Level (High level SC	W exa	mination <u>only</u>). So	ee cardiovascular cl	apter for	scorin
			Oata Score			
Age/sex						
Smoker Yes/No				_		
Blood Pressure (systolic) Fasting Cholesterol -To	tal					
Fasting Cholesterol -To						
	olesterol Level			-		
Fasting plasma glucose (diabe						
TOTAL SCORE	100)			-		
Other clinical considerations		ırdiova	scular Conditions p	age 59 of Standard)	ea symptor	ns. farr
and past history, co-morbidit	y, work conditions:				0 .	-
and past history, co-morbidit Stress ECG	y, work conditions:				0 .	- No
	es overall risk assessn					_
Stress ECG Cardiac risk level 5-9% - Doo	es overall risk assessn fer for Stress ECG	nent re	quire Stress ECG?	ormal□	Yes	No

2.	Neu	ırologica	ıl/Musculos	keletal:							
	2.1	Cervical	Spine rotation	n		Normal		Abnorn	nal□		
	2.2	Back mo	vement			Normal		Abnorn	nal□		
	2.3	Upper Li	mbs								
		a)	Appearanc	ce		Normal		Abnorn	nal□		
		b)	Joint move	ements		Normal		Abnorn	nal□		
	2.4	Lower Li	imbs								
		a)	Appearance	ce		Normal		Abnorn	nal□		
		b)	Joint move	ements		Normal		Abnorn	nal□		
	2.5	Gait				Normal		Abnorn	nal□		
	2.6					ntain bala	ınce v	while standinç	g with shoes o	off, feet togethe	r side by side,
		eyes clos	sed and arms b	y sides, for th	nirty seconds.						
				_		Normal		Abnorn			
	2.7	ls a fund	tional/practio	al assessme	nt required?	Yes		No			
2	Cho	oct/Lung	01			Mormal		Abnorn	.ol□		
3.	GIIE	est/Lung	ð.			Normal		Abnorn	iai⊔		
4.	Hea	ring:									
7.		KHz	0.5	1.0	1.5	2.0		3.0	4.0	6.0	8.0
		Left	0.0	110	1.0			0.0	110	0.0	0.0
		Right									
	Н	as the app	licant been qui	et for the pas	t 16 hours?				Yes □	No□	
_	W:-:										
5.	Visi										
	5.1	Visual A	Uncorrecte	ď			Cor	rected			
	R		L	·u	R		001	L			
	6.	/	6/	1	6/			6/			
			ontact lenses v		Yes		No				
	5.2		i elds (Confront	ation to each	eye): Normal		Abn	ıormal□			
	5.3	Colour V		40 deles 's s	- 10						
		(ISNIN	ara: ≥ 2 errors/	12 plates is a f	aii)						
6.	Sle	en:									
0.	6.1	•	Sleepiness So	cale (from He	alth Questionn	aire					
	011	□ Score	-	ouro (iroiii rio	ann gaoonom	ian o			-		-
			er symptoms/r	isk factors/ind	cidents		□F	it for Duty			
			ther symptoms					emporarily ur	nfit		
		□Score									
			er symptoms/r	isk factors/ind	cidents		□F	it for Duty			
			ther symptoms.					emporarily ur	nfit		
		□Score						emporarily ur			
	6.2		ıss Index (BM	1)							
			ht		g						
		Heigl	ht		m						
		ВМІ			MI = weight (I						
		□If BMI	is \geq 40 or \geq 35	with diabete	s or high blood	d pressure	e refe	r for investiga	ıtion		

7. Substance Misuse

7.1 Alcohol: Audit Questionnaire

(Record results from the Health Questionnaire)

Question	Question
Q5.1	Q5.6
Q5.2	Q5.7
Q5.3	Q5.8
Q5.4	Q5.9
Q5.5	Q5.10
TOTAL SCORE:	

		Negative	Positive	
Creatinine				mmol/L
Sympatho	omimetic Amines			ng/ml
Amphetar	nines			ng/ml
Methamp	hetamines			ng/ml
Cocaine				ng/ml
Benzodia	zepines			ng/ml
Cannabin	oids			ng/ml
Opiates				ng/ml
Alcohol				mg%
Q6.1		Q6.6		-
3.1. K 10 Que: (Record r		ealth Questionnaire)		
Questi	on	Question	1	
Q6.1 Q6.2		Q6.7		_
I Un Z		LUD /		
Q6.3		Q6.8		-
Q6.3 Q6.4		Q6.8 Q6.9		
Q6.3 Q6.4 Q6.5	DE.	Q6.8		
Q6.3 Q6.4 Q6.5 TOTAL SCOR		Q6.8 Q6.9 Q6.10		
Q6.3 Q6.4 Q6.5 TOTAL SCOR	RE: , speech and behav	Q6.8 Q6.9 Q6.10		
Q6.3 Q6.4 Q6.5 TOTAL SCOR	, speech and behav	Q6.8 Q6.9 Q6.10		
Q6.3 Q6.4 Q6.5 TOTAL SCOP 3.2. Is attitude	, speech and behav	Q6.8 Q6.9 Q6.10		

RELEVANT CLINICAL FINDINGS/RECOMMENDATIONS TO BROOKFIELD RAIL'S CMO	
Note: Comments on any relevant findings detected in the questionnaire or examination, making reference to the requirements of the standard.	
I certify that I have examined the person named in accordance with the medical standards contained in the <i>National</i> Standard for Health Assessment of Rail Safety Workers.	

DATE:

/20

Name of Examining Health Professional:

I have sighted the employee / applicant's photo ID

Signature:

RECOMMENDATION OF CHIEF MEDICAL OFFICER

I certify that I have reviewed the Health Assessment Examination Form for the person named in accordance with the medical standards contained in the *National Standard for Health Assessment of Rail Safety Workers*, and in my opinion the worker / applicant is (tick as appropriate):

/orker's Name:Date of Birth	:Service Number:
☐ Fit for Duty	I recommend:
Meets all relevant medical criteria for: ☑ Category 1 (High Level Safety Critical Worker)	 ☐ Medical Review in years ☐ Local doctor referral ☐ Conditional on Corrective lenses ☐ Other condition (specify):
Does not meet all medical criteria, but could perform the inherent requirements of the position if the condition is sufficiently under control and worker / applicant is more frequently reviewed than prescribed under periodic review If pre-employment – Recruitment & Selection process suspended. Risk Assessment required by Brookfield Rail prior to engagement	I recommend: Medical Review in Specialist referral Local doctor referral Company Medical Officer referral Laboratory tests This certificate is valid until:
□ Fit for Duty, Subject to Job Modification Does not meet all medical criteria, but could perform the inherent requirements of the position if suitable modifications were made to the duties If pre-employment – Recruitment & Selection process suspended. Risk Assessment required by Brookfield Rail prior to engagement	I recommend:
□ Temporarily Unfit for Duty, Subject to Review Does not meet all medical criteria and cannot perform the inherent requirements of the position, but may perform alternative duties. May return to full duty pending improvement in condition, response to treatment, confirmed diagnosis of undifferentiated illness If pre-employment – Recruitment & Selection process ceased. May reapply for position when noticeable improvement in condition is verified by applicant's doctor. Re-examination for pre-employment will be required.	
□ Permanently Unfit for Duty Does not meet the medical criteria and cannot perform the job in the future. If pre-employment – Recruitment & Selection process ceased.	I recommend the following in terms of management and review:
Name of Chief Medical Officer Signature: Chief Med	